

Procurement-Information:

| Question | Response | URL (if applicable) |
|--|----------|---|
| 1. Does the Authority have procurement guidelines? | Yes | http://herkimer.yourtasc.com |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | Yes | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | No | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | Yes | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | Yes | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | No | |

Procurement Transactions Listing:

1. Vendor Name: D'Arcangelo & Company

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$6,500
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 200 East Garden Street
Address Line2: PO Box 4300
City: ROME
State: NY
Postal Code: 13440
Plus 4: 4325
Province Region:
Country: USA
Procurement Description: Audit Services

2. Vendor Name: RJ Malone

Type of Procurement: Legal Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$5,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 16 Lewis Street
Address Line2:
City: LITTLE FALLS
State: NY
Postal Code: 13365
Plus 4:
Province Region:
Country: USA
Procurement Description: Legal Services

Procurement Transactions Listing:

Additional Comments:

This procurement report is subject to approval at the annual meeting.